



16044

#14

C014CIP/DIV2/US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Phillip Gambel  
Group : 1644  
Applicants : Michael J. Yellin et al.  
Application No.: 09/342,314 Confirmation No. 6376  
Filed : June 29, 1999  
For : THERAPEUTIC APPLICATIONS FOR THE ANTI-T-BAM (CD40-L) MONOCLONAL ANTIBODY 5C8 IN THE TREATMENT OF ATHEROSCLEROSIS

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New York, New York  
December 4, 2001

Hon. Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR THIRD SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Third Supplemental Information Disclosure Statement in the above-identified application.

In accordance with 37 C.F.R. § 1.97, this Third Supplemental Information Disclosure Statement is accompanied by:

- a Statement as required under 37 C.F.R. § 1.97(e)(1) or § 1.97(e)(2);  
 the fee as set forth in 37 C.F.R. § 1.17(p).

12/12/2001 SDU0NB 00000070 09342314

180.00 OP

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The Director is hereby authorized to charge payment of any additional fees required in connection with the accompanying Third Supplemental Information Disclosure Statement, or credit any overpayment, to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

*Shawn Mayrand*)

James F. Haley, Jr. (Reg. No. 27,794)  
Margaret A. Pierri (Reg. No. 30,709)  
Attorneys for Applicants  
Shawn-Marie Mayrand (Reg. No. 48,986)  
Agent for Applicants  
FISH & NEAVE  
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I hereby Certify that this  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Examiner : Phillip Gambel  
Group : 1644  
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New York, New York  
December 4, 2001

Hon. Commissioner for Patents  
Washington, D.C. 20231

FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97,  
applicants make of record the following documents, copies  
of which are submitted herewith:

Other Documents

Francois Mach et al. "Functional CD40 ligand is expressed on human vascular endothelial cells, smooth muscle cells and macrophages: Implications for CD40-CD40 ligand signaling in atherosclerosis, Proc. Natl. Acad. Sci., USA 94:1931-1936 (1997).

For the Examiner's convenience, the above-mentioned documents are listed on the accompanying Form

PTO-1449.

Applicants respectfully request that the above-cited documents be (1) fully considered by the Examiner during the continued examination of this application and (2) printed on any patent issuing from this application. Applicants also request that a copy of the enclosed Form PTO-1449, duly initialed by the Examiner, be forwarded to the undersigned with the next communication.

A check in payment of the \$180.00 fee, pursuant to 37 C.F.R. § 1.17(p), is transmitted herewith.

Additionally, the transmittal letter accompanying this document, sent in duplicate, states that the Director is hereby authorized to charge payment of any additional fees required in connection with this Fourth Supplemental Information Disclosure Statement to Deposit Account No. 06-1075.

Respectfully submitted,

Shawn Mayrand

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Margaret A. Pierri (Reg. No. 30,709)  
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Shawn-Marie Mayrand (Reg. No. 48,986)  
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Lillian Garcia

Lillian Garcia  
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Examiner : Phillip Gambel  
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December 4, 2001

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Washington, D.C. 20231

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
AND STATEMENT UNDER 37 C.F.R. § 1.97(e)(1)

Sir:

Pursuant to 37 C.F.R. § 1.97(d), applicants hereby request consideration of the Third Supplemental Information Disclosure Statement incorporated herein below. The Third Supplemental Information Disclosure Statement cites documents recently cited in a September 18, 2001 Supplementary European Search Report in applicants' corresponding European patent application.

STATEMENT UNDER 37 C.F.R. § 1.97(e)(1)

In the below-incorporated Third Supplemental Information Disclosure Statement, applicants state, as required by 37 C.F.R. § 1.97 (d) (1) and pursuant to 37 C.F.R. § 1.97 (e)(1), that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

A check in the amount of \$180.00, in payment of the fee as set forth in 37 C.F.R. § 1.17(p) is enclosed herewith. In the Transmittal Letter accompanying this paper, the Director is hereby authorized to charge any additional fee due, or refund any overpayment made, in connection with this Statement, to Deposit Account No. 06-1075.

Accordingly, consideration of the Third Supplemental Information Disclosure Statement is respectfully requested.

Third Supplemental Information Disclosure Statement

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(d), applicants make of record the following documents, copies of which are submitted herewith:

Other Documents

Fred Ramsdell et al., "CD40 Ligand Acts As a Costimulatory Signal for Neonatal Thymic Y $\delta$  T Cells", *J. Immunol.* 152:2190 (1994).

Josette Peguet-Navarro et al., "Functional Expression of CD40 Antigen on Human Epidermal Langerhans Cells", *J. Immunol.* 155:4241-4247 (1995).

Peter A. Kiener et al., "Stimulation of CD40 with Purified Soluble gp39 Induces Proinflammatory Responses in Human Monocytes", *J. Immunol.* 155:4917-4925 (1995).

For the Examiner's convenience, the above-mentioned documents are listed on the accompanying Form PTO-1449.

The documents are listed because they were cited in the September 18, 2001 Supplementary European Search Report on applicants' corresponding European patent application.

Applicants respectfully request that the above-cited documents be (1) fully considered by the Examiner during the continued examination of this application and (2) printed on any patent issuing from this application. Applicants also request that a copy of the enclosed Form PTO-1449, duly initialed by the Examiner, be forwarded to the undersigned with the next communication.

Consideration of this Third Supplemental Information  
Disclosure Statement is respectfully requested.

*Shawn Marie Mayrand*

James F. Haley, Jr (Reg. No. 27,794)  
Margaret A. Pierri (Reg. No. 30,709)  
Attorneys for Applicants  
Shawn-Marie Mayrand (Reg. No. 48,986)  
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